

The total marks for this test is 40 points: each problem has 20 points.

**Problem 1.** For each of the following sets in  $\mathbb{R}^2$  state if the set is (a) connected and (b) path connected? Justify your answers.

(i)  $A_1 = ([-1, +1] \times \{0\}) \cup (\{0\} \times [-1, +1]),$  [5 pts]

(ii)  $A_2 = B_1((-1, 0)) \cup B_1((1, 0)),$  [5 pts]

(iii)  $A_3 = \overline{B_1((-1, 0))} \cup B_1((1, 0)),$  [5 pts]

(iv)  $A_4 = \{(x, y) \in \mathbb{R}^2 \mid y = f(x)\},$  where  $f : \mathbb{R} \rightarrow \mathbb{R}$  is a continuous function. [5 pts]

**Problem 2.** In the following two problems assume that  $(X, d_X)$  and  $(Y, d_Y)$  are metric spaces and  $f : X \rightarrow Y$  is continuous.

(i) Prove that if  $X$  is sequentially compact, then  $f(X)$  is sequentially compact. [10 pts]

(ii) Prove that if  $X$  is compact and  $f : X \rightarrow Y$  is injective, then  $f : X \rightarrow f(X)$  is a homeomorphism. [10 pts]

### Solution of problem 1.

- (i) The set  $A_1$  is path connected. To see this, let  $a$  and  $b$  be arbitrary points in  $A_1$ . Consider the map  $g : [0, 1] \rightarrow A_1$  defined as

$$g(t) = \begin{cases} (1 - 2t)a & \text{if } t \in [0, 1/2] \\ (2t - 1)b & \text{if } t \in [1/2, 1] \end{cases}$$

Then,  $g$  is continuous on  $[0, 1]$  with  $g(0) = a$  and  $g(1) = b$ . [3 points for this part]

By a theorem in the lectures, any path connected set is connected. Therefore,  $A_1$  is connected. [2 points for this part]

- (ii) Let  $U = B_1((-1, 0))$  and  $V = B_1((1, 0))$ . Any ball in a metric spaces is open, thus,  $U$  and  $V$  are open. Moreover,  $U \cap V = \emptyset$ ,  $A_2 \subset U \cup V$ . This shows that  $A_2$  is disconnected. [3 points for this part]

The set  $A_2$  cannot be path connected, since otherwise it must be connected (same theorem in the lectures). [2 points for this part]

- (iii) The set  $A_3$  is path connected. Note that  $(0, 0)$  belongs to  $A_3$ . Let  $a$  and  $b$  be arbitrary points in  $A_1$ . We can consider a path from  $a$  to  $(0, 0)$  in the ball containing  $a$  and follow that path by a path from  $(0, 0)$  to  $b$  inside the ball which contains  $b$ . More precisely, consider the map  $g : [0, 1] \rightarrow A_1$  defined as

$$g(t) = \begin{cases} (1 - 2t)a & \text{if } t \in [0, 1/2] \\ (2t - 1)b & \text{if } t \in [1/2, 1] \end{cases}$$

Then,  $g$  is continuous on  $[0, 1]$  with  $g(0) = a$  and  $g(1) = b$ . We need to show that for every  $t \in [0, 1]$ ,  $g(t) \in A_3$ . However, since for every  $a \in A_3$ , the line segment between  $a$  and  $(0, 0)$  without its end points belongs to  $A_3$ , the image of  $g$  is contained in  $A_3$ . [3 points for this part]

By a theorem in the lectures, any path connected set is connected. Therefore,  $A_3$  is connected. [2 points for this part]

- (iv) The set  $A_4$  is path connected. For arbitrary points  $(a, f(a))$  and  $(b, f(b))$  in the set  $A_4$  we may consider the continuous path  $g : [0, 1] \rightarrow A_4$  as

$$g(t) = \left( (1 - t)a + tb, f((1 - t)a + tb) \right).$$

By the definition of  $g$ , for every  $t \in [0, 1]$ ,  $g(t) \in A_4$ . Since  $f$  is continuous,  $g$  is continuous. Also we have  $g(0) = (a, f(a))$  and  $g(1) = (b, f(b))$ . Therefore,  $A_4$  is path connected. [3 points for this part]

By a theorem in the lectures, any path connected set is connected. Therefore,  $A_4$  is connected. [2 points for this part]

## Solution of problem 2.

- (i) *Method 1:* In the lectures we have proved that the compactness and sequential compactness are equivalent for metric spaces. If  $X$  is sequentially compact, then  $X$  is compact. By another theorem, the image of a compact set by a continuous map is compact. Thus,  $f(X)$  is compact. This implies that  $f(X)$  is sequentially compact. [10 points for this part, give 5 pts for stating the theorems correctly, and 5 pts for completing the proof using those theorems.]

*Method 2:* Let  $(y_n)_{n \geq 1}$  be an arbitrary sequence in  $f(X)$ . Then, for each  $n \in \mathbb{N}$ , there is  $x_n \in X$  such that  $f(x_n) = y_n$ . The point  $x_n$  exists, but it may not be unique. When there are more than one choices for  $x_n$ , we may choose any of the choices we wish. Since  $X$  is sequentially compact, there is a subsequence of  $(x_n)_{n \geq 1}$ , say  $(x_{n_k})_{k \geq 1}$  which converges to some  $x \in X$ . Since  $f$  is continuous, the sequence  $(y_{n_k})_{k \geq 1} = f(x_{n_k})_{k \geq 1}$  converges to  $f(x) \in f(X)$ . This completes the proof. [10 points, subtract partial points for minor errors proportionally.]

- (ii) Since  $f : X \rightarrow f(X)$  is onto, there only remains to show that  $f^{-1} : f(X) \rightarrow X$  is continuous. To show that this map is continuous, it is enough to show that the preimage of any closed set in  $X$  by  $f^{-1}$  is closed in  $f(X)$ . Equivalently, we need to show that for every closed set  $E$  in  $X$ ,  $f(E) = (f^{-1})^{-1}(E)$  is closed in  $f(X)$ . To show this, let  $E$  be an arbitrary closed set in  $X$ . Since  $X$  is compact, and  $E$  is closed,  $E$  is compact. Since  $f$  is continuous, and the image of any compact set by a continuous map is compact, we conclude that  $f(E)$  is compact in  $Y$ . However, since every compact set is closed,  $f(E)$  is closed as well. [10 points]