Problem Sheet 6	Analysis II
Davoud Cheraghi	Autumn 2021

**Exercise 6.1.** Let  $(X, d_{\text{disc}})$  be a discrete metric space, and  $(x_n)_{n\geq 1}$  be a sequence in X. Then,  $(x_n)_{n\geq 1}$  converges in  $(X, d_{\text{disc}})$  if and only if the sequence  $(x_n)_{n\geq 1}$  is eventually constant.

**Solution:** Assume  $(x_n)_{n\geq 1}$  converges to  $x \in (X, d_{\text{disc}})$ . Then  $\forall \epsilon > 0$  there is N s.t.  $\forall n > N, x_n \in B_{\epsilon}(x)$ . Take for example  $\epsilon = 1/2$ . Since in our space  $B_{1/2}(x) = \{x\}$ , we have  $x_n = x, \forall n > N$ . In other words, the sequence is eventually constant.

For the opposite implication, assume that there is  $N \in \mathbb{N}$  such that for all  $n \geq N$  we have  $x_n = x_N$ . Then, for all  $\epsilon > 0$ ,  $x_n \in B_{\epsilon}(x_n)$ . Thus, for all  $\epsilon > 0$ , and all  $n \geq N$ ,  $x_n \in B_{\epsilon}(x_N)$ . This implies that the sequence  $(x_n)_{n\geq 1}$  converges to  $x_N$ .

**Exercise 6.2.** Let (X, d) be a metric space, and  $(x_n)_{n\geq 1}$  be a sequence in X. Prove that the sequence  $(x_n)_{n\geq 1}$  converges to  $x \in X$  if and only if, for every open set U in (X, d) with  $x \in U$ , there is  $N \in \mathbb{N}$  such that for all  $n \geq N$ , we have  $x_n \in U$ .

*Hint:* U can be the ball  $B_r(x)$ .

**Solution:** Assume that  $(x_n)_{n\geq 1}$  converges to  $x \in X$ . Let U be an arbitrary open set which contains x. Since U is open and  $x \in U$ , there is  $\delta > 0$  such that  $B_{\delta}(x) \subset U$ . Since  $(x_n)_{n\geq 1}$  converges to x, for  $\delta$  there is  $N = N(\delta)$  such that for all  $n \geq N$  we have  $x_n \in B_{\delta}(x)$ . Since  $B_{\delta}(x) \subset U$ , for all  $n \geq N$  we have  $x_n \in U$ .

For the opposite implication assume that  $(x_n)_{n\geq 1}$  is a sequence in X and for any open set  $U \subset X$  with  $x \in U$ , there is N such that for all  $n \geq N$ , we have  $x_n \in U$ . Fix an arbitrary  $\epsilon > 0$  and define  $U = B_{\epsilon}(x)$  (recall that any ball is an open set). By the hypothesis, there is  $N \in \mathbb{N}$  such that for all  $n \geq N$ ,  $x_n \in U = B_{\epsilon}(x)$ . As  $\epsilon$  was arbitrary, we conclude that  $(x_n)_{n\geq 1}$  converges to x.

**Exercise 6.3.** Let  $(X, d_{disc})$  be a discrete metric space. Then every set in X is closed. *Hint: First show that every set in X is open with respect to*  $d_{disc}$ .

**Solution:** We show first that every set in X is open. Let us fix an arbitrary set  $A \subset X$ . For any  $x \in A$ , we have  $x \in B_{1/2}(x) = \{x\} \subset A$ . By definition, this means that A is open. Thus any set in X is open. Now take an arbitrary set  $B \in X$ . We have just shown that  $X \setminus B$  is open. Therefore, by a theorem in the lectures, B is closed.

**Exercise 6.4.** Let (X, d) be a metric space, and V be a subset of X. Show that the set V is closed if and only if  $\overline{V} = V$ .

Hint: use the definition of closed sets, and the definition of the closure of a set.

Please send any corrections to d.cheraghi@imperial.ac.uk Questions marked with \* are optional

**Solution:** By definition,  $\overline{V}$  is the union of V with all its accumulation points.

First assume that V is closed. Then, by definition, for any sequence  $(x_n)_{n\geq 1}$  in V which converges to  $x \in X$ , x belongs to V. We need to show that  $\overline{V} = V$ , for which it suffices to verify that all accumulation points of V belong to V. Let a be an accumulation point of V. Then, for any  $\epsilon > 0$  the set  $B_{\epsilon}(a) \cap V$  contains a point of V different from a. For a natural number n, let  $x_n \in B_{1/n}(a) \cap V$ . Then,  $x_n, n = 1, 2, \ldots$ , is a sequence of points in V converging to a. Since we assumed V closed, we must have  $a \in V$ . As a was an arbitrary accumulation point of V, we conclude that  $\overline{V} \subset V$ .

On the other hand, assume that  $\overline{V} = V$ . Assume that  $(x_n)_{n\geq 1}$  be an arbitrary sequence in V which converges to some  $x \in X$ . To show that V is closed, we need to verify that  $x \in V$ . If there is  $n_0 \in \mathbb{N}$  such that  $x = x_{n_0}$ , then we are done. So let us assume that for all  $n \in \mathbb{N}$ , we have  $x \neq x_n$ . Since  $(x_n)_{n\geq 1}$  belongs to V and converge to  $x \in X$ , any ball centred at x contains some element  $x_n$ , which we assumed different from x. Thus, x is an accumulation point of V, and since  $\overline{V} = V$ ,  $x \in V$ .

**Unseen Exercise.** (unseen) Let V and W be subsets of a metric space (X, d). The following properties hold:

- (i) if  $V \subset W$ , then  $V^{\circ} \subset W^{\circ}$ ,
- (ii) if  $V \subset W$ , then  $\overline{V} \subset \overline{W}$ ,

Solution: Let  $V \subset W$ .

(i) Fix an arbitrary  $x \in V^{\circ}$ . There is  $\epsilon > 0$  such that  $B_{\epsilon}(x) \subset V$ . As  $V \subset W$ , we have  $B_{\epsilon}(x) \subset W$ . Thus, there is  $\epsilon > 0$  such that  $B_{\epsilon}(x) \subset W$ . This means that  $x \in W^{\circ}$ .

(ii) Fix an arbitrary  $x \in \overline{V}$ . Then, for every  $\delta > 0$ ,  $B_{\delta}(x) \cap V \neq \emptyset$ . As  $V \subset W$ , for every  $\delta > 0$ ,  $B_{\delta}(x) \cap W \neq \emptyset$ . This means that  $x \in \overline{W}$ .

**Exercise 6.5.** Let V and W be subsets of a metric space (X, d). Prove that

$$\overline{V \cup W} = \overline{V} \cup \overline{W}.$$

Give an example of (X, d), V and W such that

$$(V \cup W)^{\circ} \neq V^{\circ} \cup W^{\circ}.$$

**Solution:** We first show  $\overline{V \cup W} \subset \overline{V} \cup \overline{W}$ . Let us fix an arbitrary  $x \in \overline{V \cup W}$ . Suppose  $x \notin \overline{V} \cup \overline{W}$ . Then,  $x \notin \overline{V}$  and  $x \notin \overline{W}$ . As  $x \notin \overline{V}$ , there is  $\epsilon_1 > 0$  such that  $B_{\epsilon_1}(x) \cap V = \emptyset$ , and since  $x \notin \overline{W}$ , there is  $\epsilon_2 > 0$  such that  $B_{\epsilon_2}(x) \cap W = \emptyset$ . Let  $\epsilon = \min\{\epsilon_1, \epsilon_2\}$ . Then,  $B_{\epsilon}(x) \cap (V \cup W) = \emptyset$ . This contradicts  $x \in \overline{V \cup W}$ .

Now we show that  $\overline{V} \cup \overline{W} \subset \overline{V \cup W}$ . Fix an arbitrary  $x \in \overline{V} \cup \overline{W}$ . Then either  $x \in \overline{V}$ , or  $x \in \overline{W}$ . If  $x \in \overline{V}$ , then for every  $\delta > 0$ ,  $B_{\delta}(x) \cap V \neq \emptyset$ . This implies that for every  $\delta > 0$ ,  $B_{\delta}(x) \cap (V \cup W) \neq \emptyset$ . This means that  $x \in \overline{V \cup W}$ . Similarly, by the same argument, if  $x \in \overline{W}$ , we conclude that  $x \in \overline{V \cup W}$ .

For the second part of the question, we consider  $(\mathbb{R}, d_1)$ ,  $\mathbb{Q}^\circ = \emptyset$  and  $(\mathbb{R} \setminus \mathbb{Q})^\circ = \emptyset$ , but  $\mathbb{R}^\circ = \mathbb{R} \neq \emptyset$ .

**Exercise 6.6.**\* Let (X, d) be a metric space, and V be a subset of X. Prove that

- (i) the set  $V^{\circ}$  is open, and  $V^{\circ}$  is the largest open set contained in V;
- (ii) the set  $\overline{V}$  is closed, and  $\overline{V}$  is the smallest closed set which contains V.

Hint: For the latter part of (i), you need to show that if  $\Omega \subseteq V$  and  $\Omega$  is an open set in (X, d), then  $\Omega \subseteq V^{\circ}$ . For the latter part of (ii), you need to show that if  $V \subseteq \Delta$  and  $\Delta$  is a closed set in (X, d), then  $\overline{V} \subseteq \Delta$ .

**Solution:** (i) First we show that  $V^{\circ}$  is an open set. Let z be an arbitrary point in  $V^{\circ}$ . Then, there is  $\delta > 0$  such that  $B_{\delta}(z) \subset V$ . We claim that  $B_{\delta}(z) \subset V^{\circ}$ . To see that, fix an arbitrary  $y \in B_{\delta}(z)$ . There is r > 0 such that  $B_r(y) \subset B_{\delta}(z)$ . Since,  $B_{\delta}(z) \subset V$ , we must have  $B_r(y) \subset V$ . This means that  $y \in V^{\circ}$ . As y in  $B_{\delta}(z)$  was arbitrary, we conclude that  $B_{\delta}(z) \subset V^{\circ}$ . As  $z \in V^{\circ}$  was arbitrary, we conclude that  $V^{\circ}$  is an open set.

Now we show that  $V^{\circ}$  is the largest open set contained in V. To see that, let  $\Omega$  be an arbitrary open set contained in V. For every  $z \in \Omega$ , since  $\Omega$  is an open set, there is r > 0 such that  $B_r(z) \subset \Omega$ . As  $\Omega \subset V$ , we have  $B_r(z) \subset V$ . This implies that  $z \in V^{\circ}$ . Since  $z \in \Omega$  was arbitrary, we conclude that  $\Omega \subset V^{\circ}$ .

(ii) Let us first show that  $\overline{V}$  is closed. To see that, let  $(x_n)_{n\geq 1}$  be an arbitrary sequence in  $\overline{V}$  which converges to some  $x \in X$ . Let r > 0 be arbitrary. Since  $(x_n)_{n\geq 1}$  converges to x, there is  $n \in \mathbb{N}$  such that  $x_n \in B_{r/2}(x)$ . Since  $x_n \in \overline{V}$ , the set  $B_{r/2}(x_n) \cap V \neq \emptyset$ , so there is  $z \in B_{r/2}(x_n) \cap V$ . Then,

$$d(z, x) \le d(z, x_n) + d(x_n, x) < r/2 + r/2 = r.$$

This implies that  $z \in B_r(x)$ , and hence  $B_r(x) \cap V \neq \emptyset$ . As r > 0 was arbitrary, we conclude that  $x \in \overline{V}$ . Since  $(x_n)_{n \ge 1}$  was an arbitrary sequence in  $\overline{V}$  (and we showed that its limit is contained in  $\overline{V}$ ), we conclude that  $\overline{V}$  is a closed set.

For the latter part of item (ii), assume that F is a closed set in X, which contains V. We need to show that  $\overline{V} \subset F$ . Let z be an arbitrary point in  $\overline{V}$ . By the definition of  $\overline{V}$ , for every  $n \in \mathbb{N}$ , there is  $z_n \in B_{1/n}(z) \cap V$ . This generates a sequence  $(z_n)_{n\geq 1}$  in V which converges to z. Since  $V \subset F$ , the sequence  $(z_n)_{n\geq 1}$  is contained in F, and because F is closed, we must have  $z \in F$ . Therefore,  $\overline{V} \subset F$ .

**Exercise 6.7.** Let  $(A_1, d_1)$  and  $(A_2, d_2)$  be metric spaces. A map  $f : A_1 \to A_2$  is continuous if and only if the pre-image of any closed set in  $A_2$  is a closed set in  $A_1$ .

**Solution:** Let  $f : A_1 \to A_2$  be continuous, and a set  $F \subset A_2$  be closed. Then  $A_2 \setminus F$  is open, as a complement of an open set by a theorem in lectures. By another theorem in lectures,  $f : A_1 \to A_2$  is continuous if and only if the preimage of any open set is open. Thus the preimage  $f^{-1}(A_2 \setminus F)$  is open. But we know that the preimage of the complement is the complement of the preimage,  $f^{-1}(A_2 \setminus F) = A_1 \setminus f^{-1}(F)$ , so that  $f^{-1}(F)$  is closed (as the complement of an open set).

Conversely, assume that the preimage of any closed set in  $A_2$  is a closed set in  $A_1$ . Let  $\Omega \subset A_2$  be open. Then  $A_2 \setminus \Omega$  is closed. Therefore  $f^{-1}(A_2 \setminus \Omega) = A_1 \setminus f^{-1}(\Omega)$  is closed. Hence  $f^{-1}(\Omega)$  is open. Thus we showed that the preimage of any open set is open. Therefore, by a theorem in the lectures, f is continuous. **Exercise 6.8.** Recall that the set of all continuous functions from [0,1] to  $\mathbb{R}$  is denoted by C([0,1]). We also defined the metrics  $d_1$ ,  $d_2$  and  $d_{\infty}$  on C([0,1]). Consider the map

$$\Phi: C([0,1]) \to \mathbb{R},$$

defined as

$$\Phi(f) = f(1/2).$$

- (i) Is the map  $\Phi$  from the metric space  $(C([0,1]), d_{\infty})$  to  $(\mathbb{R}, d_1)$  continuous? Justify your answer.
- (ii) Is the map  $\Phi$  from the metric space  $(C([0, 1]), d_1)$  to  $(\mathbb{R}, d_1)$  continuous? Justify your answer.
- (iii) Is the map  $\Phi$  from the metric space  $(C([0,1]), d_2)$  to  $(\mathbb{R}, d_1)$  continuous? Justify your answer.

*Hint:* draw the graphs of few functions, and think about what it means for two functions in C([0,1]) to be close together in each of those metrics.

**Solution:** (i) Yes. To see this, let  $\epsilon > 0$  be arbitrary. We define  $\delta = \epsilon$ . Assume that for some f and g in C([a, b]) we have

$$d_{\infty}(f,g) = \sup_{x \in [0,1]} |f(x) - g(x)| < \delta.$$

Then,

$$d_1(f(1/2), g(1/2)) = |f(1/2) - g(1/2)| \le \sup_{x \in [0,1]} |f(x) - g(x)| < \delta = \epsilon.$$

Since this holds for all f, g, the map  $\Phi$  from the metric space  $(C([0,1]), d_{\infty})$  to  $(\mathbb{R}, d_1)$  is continuous (indeed, it is uniformly continuous).

(ii) This is not continuous. To see that, consider the sequence of functions  $(f_n)_{n\geq 1}$  in C([0,1]) defined as follows. For each  $n\geq 1$ , let

$$f_n(x) = \begin{cases} 0 & \text{if } x \in [0, 1/2 - 1/n], \\ nx - n/2 + 1 & \text{if } x \in [1/2 - 1/n, 1/2], \\ 1 - nx + n/2 & \text{if } x \in [1/2, 1/2 + 1/n], \\ 0 & \text{if } x \in [1/2 + 1/n, 1]. \end{cases}$$

Also consider the constant function  $g \equiv 0$  on [0, 1]. Then

$$d_1(f_n, g) = \int_0^1 |f_n(t) - g(t)| dt = \frac{1}{n}.$$

Thus,  $d(f_n, g) \to 0$  as  $n \to \infty$ . Therefore,  $f_n$  converges to g in the metric space  $(C([0, 1]), d_1)$ . However, by construction  $\Phi(f_n) = f_n(1/2) = 1$  for all n, so  $\Phi(f_n)$  converges to 1 in  $(\mathbb{R}, d_1)$ . But,  $\Phi(g) = g(1/2) = 0$ . Therefore,  $\Phi(f_n)$  does not converge to  $\Phi(g)$  as  $n \to \infty$ .

(iii) This is not continuous. The example in part (ii) works in this case as well.

**Exercise 6.9.** Consider the metric spaces  $X = (\mathbb{R}, d_1)$  and  $Y = (\mathbb{R}, d_{\text{disc}})$ . Show that the map f(x) = x from X to Y is not continuous. Show that the map g(x) = x from Y to X is continuous.

**Solution:** Recall that in the discrete metric, any set is open. Also, a map is continuous iff the preimage of any open set is an open set. Consider the open set [0, 1] in Y. The preimage of this set under f is [0, 1], which is not open in  $X = (\mathbb{R}, d_1)$ . Therefore,  $f : X \to Y$  is not continuous.

Take any open set A in X. Its preimage  $g^{-1}(A) = A$  is a subset of Y and therefore it is open in Y. Hence,  $g: Y \to X$  is continuous.

**Exercise 6.10.** Consider the sequence of functions  $f_n : [0,1] \to \mathbb{R}$ , for  $n \ge 1$ , defined as

$$f_n(x) = \begin{cases} 1 - nx & \text{if } x \in [0, 1/n] \\ 0 & \text{otherwise.} \end{cases}$$

Let  $f: [0,1] \to \mathbb{R}$  be the constant map  $f \equiv 0$ .

- (i) Show that the sequence  $(f_n)_{n\geq 1}$  in C([0,1]) converges to f in the metric space  $(C([0,1], d_1))$ .
- (ii) Show that the sequence  $(f_n)_{n\geq 1}$  in C([0,1]) does not converge to f in the metric space  $(C([0,1], d_{\infty}))$ .
- (iii) Conclude that the identity map

$$id: (C([0,1]), d_1) \to (C([0,1]), d_\infty)$$

is not continuous.

**Solution:** (i) We have

$$d_1(f_n, 0) = \int_0^1 |f_n(t)| dt = \frac{1}{2n} \to 0,$$
 as  $n \to \infty$ .

This implies that  $(f_n)_{n\geq 1}$  converges to  $f \equiv 0$  in  $(C([0,1], d_1))$ .

(ii) We have

$$d_{\infty}(f_n, 0) = \sup_{x \in [0,1]} |f_n(x)| = 1, \quad \forall n \ge 1.$$

Therefore,  $d_{\infty}(f_n, 0)$  does not tend to zero as  $n \to \infty$ . Thus,  $(f_n)_{n \ge 1}$  does not converge to  $f \equiv 0$  in the metric space  $(C([0, 1], d_{\infty}))$ .

(iii) We have  $id(f_n) = f_n \in (C([0,1], d_{\infty}))$ . In part (i) we showed that  $f_n \to f$  in  $(C([0,1], d_1))$ . If id is continuous, we must have  $id(f_n) \to id(0) = f$  as  $n \to \infty$ , in the metric space  $(C([0,1], d_{\infty}))$ . However, in part (ii) we showed that  $(f_n)_{n\geq 1} \in (C([0,1], d_{\infty}))$  does not converge to f in the metric space  $(C([0,1], d_{\infty}))$ . This contradiction shows that id is not continuous.

**Exercise 6.11.** Let  $(X, d_X)$  and  $(Y, d_Y)$  be metric spaces, and  $f : X \to Y$  be a surjective map. Show that if f is bi-Liptschitz, then it is a homeomorphisms.

**Solution:** We have some constants  $M_1, M_2 > 0$  s.t. for all  $x, y \in X$ 

$$M_1 \operatorname{d}_X(x, y) \le \operatorname{d}_Y(f(x), f(y)) \le M_2 \operatorname{d}_X(x, y).$$

Let us first show that f is injective. Assume that for some  $x_1$  and  $x_2$  in X we have  $f(x_1) = f(x_2)$ . Then, by the above inequality, we must have  $d_X(x,y) \leq 0$ . By the properties of the metric  $d_X$ , that implies that x = y. Therefore, f is injective.

By the hypothesis f is surjective. Therefore, f is a bijective map.

Let us first show that f is continuous. Fix an arbitrary  $\epsilon > 0$ . Let  $\delta = \epsilon/M_2$ . For every  $x_1$  and  $x_2$  in X with  $d_X(x_1, x_2) < \delta$ , we have  $d_Y(f(x_1), f(x_2)) \le M_2 d_X(x, y) < \epsilon$ . Therefore, f is continuous (indeed, it is uniformly continuous).

Now we show that  $f^{-1}$  is continuous. Let  $\epsilon > 0$  be arbitrary. Define  $\delta = \epsilon M_1$ . Let  $y_1$  and  $y_2$  be arbitrary elements in Y such that  $d_Y(y_1, y_2) < \delta$ . Then,

$$d_X(f^{-1}(y_1), f^{-1}(y_2)) \le \frac{1}{M_1} d_Y(y_1, y_2) < \frac{1}{M_1} M_1 \epsilon = \epsilon.$$