

**Linear Algebra MATH 50003**  
**Solutions to Problem Sheet 5**

1. (i)  $J_1(0) \oplus J_1(-1-i)^2 \oplus J_1(3)^3$ ,  $J_1(0) \oplus J_1(-1-i)^2 \oplus J_2(3) \oplus J_1(3)$ ,  $J_1(0) \oplus J_1(-1-i)^2 \oplus J_3(3)$ ,  $J_1(0) \oplus J_2(-1-i) \oplus J_1(3)^3$ ,  $J_1(0) \oplus J_2(-1-i) \oplus J_2(3) \oplus J_1(3)$ ,  $J_1(0) \oplus J_2(-1-i) \oplus J_3(3)$ . Phew!

(ii) If  $p \neq 3$  then in  $\mathbb{F}_p$  we have  $1 \neq -2$  so the JCFs have two values. There are 3 possible JCFs with char poly  $(x-1)^3$  ( $J_3(0)$ ,  $J_2(0) \oplus J_1(0)$  etc) and 7 with char poly  $(x+2)^5$  ( $J_5(-2)$ ,  $J_4(-2) \oplus J_1(-2)$  etc). So there are  $3 \times 7 = 21$  JCFs with char poly  $(x-1)^3(x+2)^5$ .

If  $p = 3$  then  $1 = -2$  in  $\mathbb{F}_p$  and the char poly is  $(x-1)^8$ . The number of JCFs is the number of partitions of 8 (ie. the number of expressions of the form  $8 = n_1 + \dots + n_k$  where  $n_i$  are positive integers), which is 22.

(iii) JCF must have Jordan blocks  $J_3(0)$  and  $J_2(1)$ , plus others of dimension adding to 2 with values 0,1: there are 5 such:  $J_2(0)$ ,  $J_1(0)^2$ ,  $J_2(1)$ ,  $J_1(1)^2$ ,  $J_1(0) \oplus J_1(1)$ .

2. Matrix 1: over  $\mathbb{C}$  or  $\mathbb{F}_p$ ,  $p \neq 2$ , this has 3 distinct values so is diagonalisable, so JCF is  $J_1(1) \oplus J_1(0) \oplus J_1(-1)$ . Over  $\mathbb{F}_2$ , JCF is  $J_2(1) \oplus J_1(0)$ .

Matrix 2: char pol is  $x^3$ , and  $g(0) = 1$  (for any  $F$ ): so JCF is  $J_3(0)$ .

Matrix 3: char pol is  $(x+1)(x-2)^2$ . Over  $\mathbb{C}$  or  $\mathbb{F}_p$ ,  $p \neq 3$ , JCF is  $J_1(-1) \oplus J_2(2)$ ; over  $\mathbb{F}_3$ , JCF is  $J_2(2) \oplus J_1(2)$ .

Matrix 4: char pol  $x^5$ , min pol  $x^4$ ,  $g(0) = 2$ , so JCF  $J_4(0) \oplus J_1(0)$ .

Matrix 5: over  $\mathbb{C}$  or  $\mathbb{F}_p$ ,  $p \neq 2$ , JCF is  $J_3(1) \oplus J_1(1) \oplus J_2(-1) \oplus J_1(-1)$ ; over  $\mathbb{F}_2$ , JCF is  $J_3(1) \oplus J_3(1) \oplus J_1(1)$ .

3. JCFs are respectively

$$J_4(2), J_3(2) \oplus J_1(2), J_3(2) \oplus J_1(2), J_2(2) \oplus J_1(2) \oplus J_1(2), J_3(2) \oplus J_1(2).$$

So numbers 2,3 and 5 are similar.

4. Evaluate is 1; rank  $(A-I) = n-3$  implies  $g(1) = 3$ , so there are 3 Jordan blocks; and rank  $(A-I)^{n-4} = 1$  implies there is 1 block of size  $n-3$  and no larger ones. Hence JCF is  $J_{n-3}(1) \oplus J_2(1) \oplus J_1(1)$ .

5. (a) Clearly  $T^n = I$ . Hence  $T$  is diagonalisable by Q4 of Sheet 4. The values are  $n^{\text{th}}$  roots of unity in  $\mathbb{C}$ , and each  $n^{\text{th}}$  root  $\omega$  occurs, since  $v_1 + \omega v_2 + \omega^2 v_3 + \dots + \omega^{n-1} v_n$  is an evector of  $T$  with value  $\omega$ . Hence JCF is  $J_1(1) \oplus J_1(\omega) \oplus \dots \oplus J_1(\omega^{n-1})$ .

(b) The values  $\omega^j$  all lie in  $\mathbb{R}$  iff  $n \leq 2$ . So these are the only values for which  $T$  has a JCF over  $\mathbb{R}$ .

(c) Let  $F = \mathbb{F}_p$ . The min poly  $m_T(x)$  divides  $x^p - 1$ . Over  $\mathbb{F}_p$  this factorizes as  $(x-1)^p$ , so the only value of  $T$  is 1. From the matrix of  $T$  wrt the given basis, we see that rank  $(T-I) = n-1$ , so  $g(1) = 1$  and the JCF has 1 Jordan block. So JCF of  $T$  is  $J_p(1)$ .

6. (a) Let  $E$  be the standard basis in order  $e_1, \dots, e_n$  and  $F$  the standard basis in reverse order  $e_n, \dots, e_1$ . As  $Je_n = \lambda e_n + e_{n-1}$ ,  $Je_{n-1} = \lambda e_{n-1} + e_{n-2}$ , etc, the linear

transformation  $S(v) = Jv$  satisfies  $[S]_E = J$ ,  $[S]_F = J^T$ . So if  $P$  is the change of basis matrix from  $E$  to  $F$ ,  $P^{-1}JP = J^T$ . Therefore  $J$  and  $J^T$  are similar.

Relevant for part (c): Note also that  $P$  is the matrix with 1's on the "reverse diagonal", and is symmetric.

(b) Let  $A$  be an  $n \times n$  matrix over  $\mathbb{C}$ . By the JCF theorem  $A$  is similar to a JCF matrix  $J = J_{n_1}(\lambda_1) \oplus \cdots \oplus J_{n_k}(\lambda_k)$ . By part (a), for each  $i$ ,  $\exists P_i$  such that  $P_i^{-1}J_{n_i}(\lambda_i)P_i = J_{n_i}(\lambda_i)^T$ . If we let  $P$  be the block-diagonal matrix  $P_1 \oplus \cdots \oplus P_k$ , then  $P^{-1} = P_1^{-1} \oplus \cdots \oplus P_k^{-1}$  and so

$$P^{-1}JP = P_1^{-1}J_{n_1}(\lambda_1)P_1 \oplus \cdots \oplus P_k^{-1}J_{n_k}(\lambda_k)P_k = J_{n_1}(\lambda_1)^T \oplus \cdots \oplus J_{n_k}(\lambda_k)^T = J^T.$$

As  $A \sim J$ ,  $\exists Q$  such that  $Q^{-1}AQ = J$ . Then

$$P^{-1}Q^{-1}AQP = P^{-1}JP = J^T = Q^T A^T (Q^{-1})^T.$$

Noting that  $(Q^{-1})^T = (Q^T)^{-1}$ , this gives

$$(Q^T)^{-1}P^{-1}Q^{-1}AQPQ^T = A^T,$$

and hence  $A \sim A^T$ .

(c) As noted in (a), the matrix  $P$  above is symmetric. Hence  $(QPQ^T)^T = QP^TQ^T = QPQ^T$ , and so  $QPQ^T$  is symmetric.

**7.** (a) Consider  $J_n(\lambda) = J + \lambda I$  where  $J = J_n(0)$ . Then  $J_n(\lambda)^2 = J^2 + 2\lambda J + \lambda^2 I$ . This has  $\lambda^2$  on the diagonal,  $2\lambda$  on the next diagonal up, and 1 on the next diagonal. As  $\lambda \neq 0$ , we see that  $J_n(\lambda)^2 - \lambda^2 I$  has rank  $n - 1$ , which means the geom mult of the value  $\lambda^2$  is 1. Therefore the JCF of  $J_n(\lambda)^2$  is  $J_n(\lambda^2)$ .

(b) This is a really nice application of the JCF theorem.

First we show that any Jordan block  $J_n(\lambda)$  with  $\lambda \neq 0$  has a square root. To see this, let  $\mu$  be a square root of  $\lambda$  in  $\mathbb{C}$ . By (i),  $\exists P$  s.t.  $J_n(\lambda) = P^{-1}J_n(\mu)^2P$ , and the RHS is equal to  $(P^{-1}J_n(\mu)P)^2$ .

By the JCF thm,  $A$  is similar to a JCF matrix  $J = J_1 \oplus \cdots \oplus J_k$ , where  $J_i = J_{n_i}(\lambda_i)$ . As  $A$  is invertible, each  $\lambda_i \neq 0$ , so each  $J_i$  has a square root, say  $J_i = K_i^2$ . Then  $J = K^2$ , where  $K = K_1 \oplus \cdots \oplus K_k$ . So  $J$  has a square root, and so does  $A$ , using the argument in the previous para.